Counting Piecewise Linear Functions

Michael DiPasquale

Two Calculus Exercises

Univariate PI Functions

Bivariate PL Functions

Static Equilibrium

Where to now?

#### **Counting Piecewise Linear Functions**

Michael DiPasquale Oklahoma State University

Smith College Center for Women in Mathematics

March 3, 2016

#### **Piecewise Polynomials**



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Where to now?

#### Spline

A piecewise polynomial function, continuously differentiable to some order.

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Where to now? Low degree splines are used in Calc 1 to approximate integrals.

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Where to now? Low degree splines are used in Calc 1 to approximate integrals.



Graph of a function

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Where to now? Low degree splines are used in Calc 1 to approximate integrals.



Trapezoid Rule

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Where to now? Low degree splines are used in Calc 1 to approximate integrals.



Simpson's Rule

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# Origin: Ship-Building

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Where to now? Term **spline** originated in shipbuilding - referred to flexible wooden strips anchored at several points.



Source: http://technologycultureboats.blogspot.com/2014/12/gustave-caillebotte-and-curves.html

### Application: Computer-Aided Geometric Design

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Where to now? Today, splines are used extensively to create models by interpolating datapoints (CAGD).



Source: http://www.tsplines.com/products/what-are-t-splines.html

#### Calculus Exercise: I

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Where to now? For what value of c is the following function continuous?

$$f(x) = \begin{cases} x^2 + x + c & -1 \le x < 0\\ 2x + 1 & 0 \le x \le 1 \end{cases}$$

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- Answer: c = 1
- With c = 1, f(x) is a  $C^0$  spline on the subdivision  $I = [-1, 0] \cup [0, 1]$  of [-1, 1].

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• Notation:  $f \in C_2^0(I)$ 

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### Calculus Exercise II

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Where to now? For what value of b is the following function differentiable?

$$g(x) = \begin{cases} x^2 + bx + 1 & -1 \le x < 0\\ 2x + 1 & 0 \le x \le 1 \end{cases}$$

# Calculus Exercise II

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Where to now? For what value of b is the following function differentiable?

$$g(x) = \begin{cases} x^2 + bx + 1 & -1 \le x < 0\\ 2x + 1 & 0 \le x \le 1 \end{cases}$$

• Answer: b = 2

• With b = 2, g(x) is a  $C^1$  spline on  $I = [-1, 0] \cup [0, 1]$ .

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• Notation:  $g \in C_2^1(I)$ 

# Calculus Exercise II

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Where to now?

$$\textit{I} = [-1,0] \cup [0,1]$$

$$h(x) = \begin{cases} ax+b & -1 \le x < 0\\ cx+d & 0 \le x \le 1 \end{cases}$$

Which of the coefficients a, b, c, d can be chosen freely if h(x) is required to be continuous?

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Where to now?

$$I = [-1, 0] \cup [0, 1]$$

$$h(x) = \begin{cases} ax + b & -1 \le x < 0\\ cx + d & 0 \le x \le 1 \end{cases}$$

Which of the coefficients a, b, c, d can be chosen freely if h(x) is required to be continuous?

- Must have b = d
- So free to determine *a*, *b*, *c*
- $C_1^0(I)$  is a **three dimensional** vector space

#### **Dimension** Question

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Where to now? Suppose *I* is a subdivision of an interval into a union of subintervals.

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- What is dim  $C_1^0(I)$ ?
- Can we find a basis for  $C_1^0(I)$ ?

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Where to now?

#### If *I* is a subdivision of an interval with *v* vertices, then dim $C_1^0(I) = v$ .

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Where to now? If *I* is a subdivision of an interval with *v* vertices, then dim  $C_1^0(I) = v$ .

Proof by picture: PL function determined uniquely by value on  $\ensuremath{\textit{vertices}}$ 

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Proof by picture: PL function determined uniquely by value on **vertices** 



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Where to now? A basis for  $C_1^0(I)$  is given by 'Courant functions' or 'tent functions' are 1 at a chosen vertex and 0 at all others.

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#### Bivariate PL Functions

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Where to now?



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Candidate for  $F \in C_1^0(\Delta)$ 



Candidate for  $F \in C_1^0(\Delta)$ 

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Where to now?

#### If $\Delta \subset \mathbb{R}^2$ is a triangulation with v vertices, then dim $C_1^0(\Delta) = v$ .

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Where to now? If  $\Delta \subset \mathbb{R}^2$  is a triangulation with v vertices, then dim  $C_1^0(\Delta) = v$ .

Proof by picture: PL function on  $\Delta$  uniquely determined by value at vertices.

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Where to now? A basis for  $C_1^0(\Delta)$  is given by Courant functions, which take a value of 1 at a chosen vertex and 0 at all other vertices.

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Where to now? A basis for  $C_1^0(\Delta)$  is given by Courant functions, which take a value of 1 at a chosen vertex and 0 at all other vertices.



- Note: dim C<sub>1</sub><sup>0</sup>(I) and dim C<sub>1</sub><sup>0</sup>(Δ) only depended on number of vertices.
- No dependence on geometry!

### **Polygonal Subdivisions**

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Where to now?

### What if we use a polygonal subdivision instead of a triangulation?

#### **Polygonal Subdivisions**

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Where to now?

### What if we use a polygonal subdivision instead of a triangulation?



A polygonal subdivision  ${\cal P}$ 

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### **Polygonal Subdivisions**

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Where to now?

### What if we use a polygonal subdivision instead of a triangulation?



A polygonal subdivision  ${\cal P}$ 

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Does dim  $C_1^0(\mathcal{P}) = v$ ?

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Where to now? If  $\mathcal{P} \subset \mathbb{R}^2$  is a polygonal subdivision, dim  $C_1^0(\mathcal{P})$  depends on geometry of  $\mathcal{P}$ !

- dim  $C_1^0(\mathcal{P}) < v$  unless  $\mathcal{P}$  is a triangulation
- Lose tent functions!

### Proof by Example



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#### **Trivial PL Functions**

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Static Equilibrium

Where to now?

- A trivial PL function on  ${\mathcal P}$  has the same linear function on each face.
- dim(trivial splines on  $\mathcal{P}$ ) = 3 always, with basis 1, x, y.



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#### NonTrivial PL Functions

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Where to now?

- **Nontrivial** PL function on has at least two different linear functions on different faces.
- One **nontrivial** PL function on  $\mathcal{Q}_1$ , whose graph is below:



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When you move to  $Q_2$  you lose this function!

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Where to now? More explicit: Polygonal subdivisions coming from projections of polytopes have special PL functions.

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Where to now? More explicit: Polygonal subdivisions coming from projections of polytopes have special PL functions.

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Here's a cube



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Where to now? More explicit: Polygonal subdivisions coming from projections of polytopes have special PL functions.

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Make it transparent



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Where to now? More explicit: Polygonal subdivisions coming from projections of polytopes have special PL functions.

Make it transparent Now look in one of the faces:





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Make it transparent Now look in one of the faces:





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The nontrivial PL function is a 'deformed cube'

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Where to now?

#### Chop off cube corners



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Where to now?

#### Make it transparent



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Where to now?



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Make it transparent Look into an octagonal face: Counting Piecewise Linear Functions **Bivariate PL** Functions

Nontrivial PL function is 'deformed' version of truncated cube

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Where to now? • Planar framework of bars and joints given by edges and vertices of polygonal subdivision

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Where to now?

- Planar framework of bars and joints given by edges and vertices of polygonal subdivision
- Bar in **tension** or **compression** exerts force along the bar equal in magnitude but opposite in direction at endpoints

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Note: Arrows represent force, not movement

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Note: Arrows represent **force**, not movement Scalar  $\omega_{ij}$  gives tension or compression between vertices  $p_i, p_j$ . • Force  $\omega_{ii}(p_i - p_i)$  at  $p_i$ 

• Force  $\omega_{ij}(p_i - p_j)$  at  $p_j$ 

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Note: Arrows represent **force**, not movement Scalar  $\omega_{ij}$  gives tension or compression between vertices  $p_i, p_j$ . • Force  $\omega_{ii}(p_i - p_i)$  at  $p_i$  •  $\omega_{ii} < 0 \implies$  tension

• Force  $\omega_{ij}(p_i - p_j)$  at  $p_i$  •  $\omega_{ij} > 0 \implies$  compression

#### Self-Stress

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Where to now?

A **self-stress** on a framework is an assignment of scalars  $\omega_{ij}$  along the edges  $e_{ij}$  satisfying

$$\sum_{p_j \text{ adjacent to } p_i} \omega_{ij}(p_j - p_i) = 0.$$

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for every interior vertex  $p_i$ .

#### Self-Stress

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Where to now?

A **self-stress** on a framework is an assignment of scalars  $\omega_{ij}$  along the edges  $e_{ij}$  satisfying

 $\sum_{p_j \text{ adjacent to } p_i} \omega_{ij}(p_j - p_i) = 0.$ 

for every interior vertex  $p_i$ .



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#### Matrix for Self-Stresses

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Static Equilibrium

Where to now? Self-stresses are the null space of a matrix.

	12	23	34	14	15	26	37	48
$p_1$	/ 0	0	0	2	-1	0	0	0 \
	-2	0	0	0	1	0	0	0
<i>p</i> <sub>2</sub>	0	2	0	0	0	-1	0	0
	2	0	0	0	0	-1	0	0
<i>p</i> 3	0	-2	0	0	0	0	1	0
	0	0	2	0	0	0	-1	0
$p_4$	0	0	0	$^{-2}$	0	0	0	1
	0 /	0	-2	0	0	0	0	1/
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Where to now? Nontrivial stresses are in 1-1 correspondence (almost) with nontrivial PL functions on  $\mathcal{P}$ !

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Bivariate Pl Functions

Static Equilibrium

Where to now? Nontrivial stresses are in 1-1 correspondence (almost) with nontrivial PL functions on  $\mathcal{P}$ !

Start with graph



Counting Piecewise Linear Functions

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Where to now? Nontrivial stresses are in 1-1 correspondence (almost) with nontrivial PL functions on  $\mathcal{P}$ !



Restrict to faces adjacent to a single edge *e* 

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Where to now? Nontrivial stresses are in 1-1 correspondence (almost) with nontrivial PL functions on  $\mathcal{P}$ !



Restrict to faces adjacent to a single edge *e* Take normals (*z*-component= 1)

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Where to now? Nontrivial stresses are in 1-1 correspondence (almost) with nontrivial PL functions on  $\mathcal{P}$ !





Restrict to faces adjacent to a single edge *e* Take normals (*z*-component= 1)

Translate normals to (0,0,-1)

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Restrict to faces adjacent to a single edge *e* Take normals (*z*-component= 1)

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$$\omega_e = +\frac{4}{2} = 2$$

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Connect normal tips

$$\omega_e = +\frac{4}{2} = 2$$

Sign of  $\omega_e$  depends on orientation.

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Counting Piecewise Linear Functions

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Static Equilibrium

Where to now?

- Trivial PL functions (same linear function on every face)
  ↔ trivial stress (0 on all edges)
- Nontrivial piecewise linear functions  $\leftrightarrow$  nontrivial stresses

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• This correspondence is unique, up to adding trivial PL functions on the left hand side.

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 $\mathcal{P}_1$  is not independent  $\mathcal{P}_2$  is independent

## Summary so far

Counting Piecewise Linear Functions

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Univariate PL Functions

Bivariate Pl Functions

Static Equilibrium

Where to now?

#### We've seen:

- dim  $C_1^0(I) = v$  for a subdivision I of an interval
- dim  $C_1^0(\Delta) = v$  for a planar triangulation  $\Delta$
- dim C<sub>1</sub><sup>0</sup>(P) for a planar polygonal subdivision P relies on counting the number of ways polygonal surfaces can project onto P

 Equivalently, dim C<sub>1</sub><sup>0</sup>(P) relies on computing the dimension of the vector space of self-stresses on P.

## Where to now?

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Where to now?

#### What about dim $C_d^r(\mathcal{P})$ , where r > 0, d > 1?

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## Where to now?

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Where to now?

What about dim  $C_d^r(\mathcal{P})$ , where r > 0, d > 1?

• For fixed  $\mathcal{P}$  and d large, dim  $C_d^r(\mathcal{P})$  is a polynomial in d!

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For small d, dim C<sup>r</sup><sub>d</sub>(P) may not agree with this polynomial.

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Where to now?

Suppose *I* is a subdivision of an interval with  $v^0$  interior vertices and *e* edges. Then

$$\dim C_d^r(I) = \begin{cases} d+1 & d < r+1 \\ e(d+1) - v^0(r+1) & d \ge r+1 \end{cases}$$

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Basis for  $C_d^r(I)$  is given by *B*-splines.

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Basis for  $C_d^r(I)$  is given by *B*-splines.

*B*-spline basis for  $C_2^1(I)$  where *I* consists of two subintervals

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If  $\Delta$  is a triangulation in  $\mathbb{R}^2$ , no known formula for dim  $C_3^1(\Delta)!_{\sim \circ}$ 

## Dimension Formulas for Polygonal Subdivisions

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Where to now?

#### [McDonald-Schenck '09]

 $\mathcal{P} \subset \mathbb{R}^2$  a polygonal subdivision (convex polygons): For  $d \gg 0$ ,

dim 
$$C_d^0(\mathcal{P}) = f \frac{(d+2)(d+1)}{2} - e^0(d+1) + v^0 + \alpha$$
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where  $\alpha$  is a constant depending on the geometry of  $\mathcal{P}$ .

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Both results above extend to  $C_d^r(\mathcal{P})$ , when  $\mathcal{P} \subset \mathbb{R}^2$  is planar.

## Takeaways

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Where to now?

#### If d is close to r + 1:

- dim  $C_d^r(\mathcal{P})$  is really hard to compute!
- $C_d^r(\mathcal{P})$  is particularly useful for applications.

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Counting Piecewise Linear Functions

Michael DiPasquale

Two Calculu Exercises

Univariate Pl Functions

Bivariate PI Functions

Static Equilibrium

Where to now?

# THANK YOU!



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